



Confidentiality-preserving Smart Contracts

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Introduction

Introduction

Motivation

- Smart contracts inherit some **undesirable** blockchain properties;
- Existing smart contract systems thus lack **confidentiality** or **privacy**;
- Blockchain consensus requirements also hamper smart contracts with **poor performance**.

Problem Statement

Design a platform for confidential and performant smart contracts' execution.

Background

Smart Contracts and Blockchains

- Smart Contracts are programs executed by a network of participants who reach agreement on the programs' state;
- Full replication on all nodes provides a high level of fault tolerance and availability;
- On-chain computation of fully replicated smart contracts is inherently expensive;
- Contract state and user input must be **public** in order for miners to verify correct computation.

\Rightarrow Lack of privacy.



Trusted Hardware with Attestation

- A trusted execution environment (TEE) protects the confidentiality and integrity of computations;
- A TEE can issue proofs, known as attestations, of computation correctness;
- Intel SGX provides a CPU-based implementation of TEEs—known as enclaves in SGX—for general-purpose computation;
- It is **infeasible** for any entity other than an SGX platform to generate any attestation;
- SGX alone **cannot guarantee availability**: a malicious host can terminate enclaves or drop messages arbitrarily.

\Rightarrow Lack of availability.

Challenges

Tolerating TEE Failures

- Availability failures

- A malicious host can **terminate enclaves**, and even an honest host could **lose enclaves in a power cycle**.

- Side channels

- Recent work has **uncovered data leakage** via side channel attacks;
- Existing defenses are generally application and attack-specific;
- It is still desirable to **limit the impact** of compromised TEEs.

- Timer failures

- TEEs in general lack trusted time sources;
- Although a trusted relative timer is available, the communication between enclaves and the timer can be **delayed by the OS**.

Proof of Publication for PoW blockchains

- A TEE must be able to efficiently verify that an item has been stored
- in the blockchain.
- Such a proof can consist of **signatures** from a quorum of consensus nodes (Permissioned Blockchain).
- TEEs must be able to validate new blocks (Permissionless Blockchain).
 - A trusted timer is needed to defend against an adversary isolating an enclave and presenting an invalid subchain.
- An attacker delaying a timer's responses cannot prevent an enclave from successfully verifying blockchain contents given trust in, e.g. TLS-enabled NTP servers.

Atomic Delivery of Execution Results

- Atomicity of executions namely either both executions *exc1*, *exc2* finish or none of them;
- TEE **cannot** tell whether an input state is **fresh**, an attacker can provide **stale** states to resume a TEE's execution from an **old state**;
 - An attacker may repeatedly rewind until receiving the **desired output**;
 - Another example is that rewinding could defeat budget based privacy protection, such as **differential privacy**.



Ekiden

Cheng, Raymond, et al. "Ekiden: A platform for confidentiality-preserving, trustworthy, and performant smart contracts." 2019 IEEE European Symposium on Security and Privacy (EuroS&P). IEEE, 2019.

Overview

- **Clients** are end users of smart contracts;
 - A client can create contracts or execute existing ones with secret input.
- Compute nodes process requests from clients by running the contract in a contract TEE and generating attestations proving the correctness of state updates.



Overview (Cont'd)

- Consensus nodes maintain a distributed append-only ledger by running a consensus protocol;
- Contract state and attestations are **persisted** on the blockchain;
- Consensus nodes are responsible for checking the validity of state updates using TEE attestations.



Overview (Cont'd)

- Ekiden **decouples** request execution from consensus;
- A request is only executed by K compute nodes (possibly K=1);
- **Proof of correct execution** takes the form of a signature.
- Consensus nodes do not need neither trusted hardware nor to contact the IAS to verify it.



Security Goals

- Correct execution:

- Contract state transitions reflect correct execution of contract code on given state and inputs.
- Consistency:
 - At any time, the blockchain stores a single sequence of **state transitions consistent with the view of each compute node**.



Security Goals (Cont'd)

- Secrecy:

- Ekiden guarantees that contract state and inputs from honest clients are kept secret from all other parties (without any TEE breach);
- Ekiden is resilient to some **key-manager TEEs being breached**.
- Graceful confidentiality degradation:
 - Should a confidentiality breach occur in a computation node, Ekiden provides **forward secrecy** and **reasonable isolation** from the affected TEEs.

⇒ Ekiden does not prevent contract-level leakage (e.g. through covert channels, bugs or side channels).



Evaluation

- Use cases:
 - Machine Learning Contracts (predicting the likelihood of heart disease based on medical records)
 - **Smart Building Thermal Modeling** (an implementation of non-linear least squares, which is used to predict temperatures based on time series thermal data from smart buildings).
 - Tokens (an implementation in Rust of an ERC20 Token);
 - **Poker** (a contract where users take turns submitting their actions to the contract, and the smart contract contains all of the game logic for shuffling and (selectively) revealing cards);
 - **CryptoKitties** (an Ethereum game that allows users to breed virtual cats, which are stored on chain as ERC721 tokens).



Evaluation



Figure 2: End-to-end latency of client requests for various contracts.



Figure 3: Throughput comparison across contracts and systems.

Other Approaches

ZKP-based Approaches: Hawk

- Hawk has strong privacy goals that include:
 - Hiding the amounts and transacting parties of monetary transfers;
 - Hiding contract state from non-participants;
 - Supporting private inputs that are hidden even from other participants in the contract.
- It suffers from some limitations:
 - SNARKs require a per-circuit trusted setup, which means that for every distinct program that a contract implements, a new trusted setup is required;
 - Each contract requires kilobytes of data to be put on-chain;
 - Privacy in Hawk relies on trusting a third-party manager who gets to see all the private data.

Kosba, Ahmed, Andrew Miller, Elaine Shi, Zikai Wen, and Charalampos Papamanthou. 2016. "Hawk: The Blockchain Model of Cryptography and Privacy-Preserving Smart Contracts." In 2016 IEEE Symposium on Security and Privacy (SP), 839–58. ieeexplore.ieee.org.

Secure MPC-based Approaches: Enigma

- Secure multi-party computation is a cryptographic technique that allows parties to compute functions on private inputs without learning anything but their output.
- This enables attaching monetary conditions to the outcome of computations and incentivizing fairness (by penalizing aborting parties).
- MPC based systems require the active (and interactive) participation of all computing nodes.
- The cryptographic tools impose a significant efficiency burden.

Zyskind, G., Nathan, O., & Pentland, A. (2015). Enigma: Decentralized computation platform with guaranteed privacy. arXiv preprint arXiv:1506.03471.

Off-chain Approaches: Arbitrum

- Smart contracts are considered as VMs.
- Execution verification is only launched in case of a dispute (challenge-based verification).
- The challenger and the entity that run the VM deposit a stake.
- The verifiers need to check only specific instructions.
- Whoever fails loses deposit, half for the winner and the other half for the verifier.
- It is consensus agnostic.

Kalodner, Harry, et al. "Arbitrum: Scalable, private smart contracts." 27th {USENIX} Security Symposium ({USENIX} Security 18). 2018.

Existing Technologies

HF Private Chaincode

- Hyperledger Fabric Private Chaincode (FPC) enables the execution of chaincodes using **Intel SGX** for Hyperledger Fabric.
- It allows to write chaincode applications where the data is **encrypted** on the ledger and can only be accessed in clear by authorized parties [2].



Hyperledger PDOs

- **Private Data Objects (PDO)** enables sharing of data and coordinating action amongst mutually distrusting parties;
- Interaction is mediated through a "smart contract" that defines data access and update policies;
- The smart contracts policies are enforced through execution in a Trusted Execution Environment (TEE);
- PDOs use Hyperledger Sawtooth distributed ledger [3].



Microsoft CCF

- Confidential Consortium Framework (CCF) is an open-source framework for building a new category of secure, highly available, and performant applications that focus on multi-party compute and data;
- **CCF** leverages trust in a consortium of governing members and in a network of replicated **hardware-protected execution environments** to achieve high throughput, low latency, strong integrity and strong confidentiality [4].



Conclusion

Conclusion

- Smart contracts lack **privacy** and TEEs lack **availability**;
- TEE and Blockchain are **complementary**;
- Usage of TEE in blockchain improves performance and preserves privacy;
- There are current PoC developed by various companies like **Hyperledger** (PDOs, HF Private Chaincodes), **Microsoft** (CCF), and **Oasis Labs** (Ekiden).
- Other approaches that involve different techniques like ZKP, MCP, and off-chain evaluation were proposed.



Thank you!

Any question?



[1] Cheng, Raymond, et al. "Ekiden: A platform for confidentiality-preserving, trustworthy, and performant smart contracts." 2019 IEEE European Symposium on Security and Privacy (EuroS&P). IEEE, 2019.

[2] Kosba, Ahmed, Andrew Miller, Elaine Shi, Zikai Wen, and Charalampos Papamanthou. 2016. "Hawk: The Blockchain Model of Cryptography and Privacy-Preserving Smart Contracts." In 2016 IEEE Symposium on Security and Privacy (SP), 839–58. ieeexplore.ieee.org.

[3] Zyskind, G., Nathan, O., & Pentland, A. (2015). Enigma: Decentralized computation platform with guaranteed privacy. arXiv preprint arXiv:1506.03471.

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[6] Hyperledger-Labs. "Hyperledger-Labs/private-data-objects." GitHub, github.com/hyperledger-labs/private-data-objects.

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